

## Phillip M. Goldberg

### Partner

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Phillip M. Goldberg is a partner and litigation lawyer with Foley & Lardner LLP where he focuses primarily on litigation, securities enforcement and corporate governance matters. He has been involved in numerous proxy contests and related takeover cases representing both activist investors and corporations. Phillip also counsels securities broker-dealers and other clients on compliance with the federal and state securities laws and rules of the various self-regulatory organizations (SROs) and represents those clients in SEC enforcement proceedings and SRO disciplinary and other proceedings and litigation. Phillip also assists special committees of boards of public companies in investigations of financial reporting and related conduct. He has represented various broker-dealers and individuals in significant investigations relating to research analyst conflicts of interest, sales of derivatives, IPO allocations, insider trading and financial reporting and net capital compliance. His practice also includes the representation of municipalities, underwriters and individuals in connection with municipal financial fraud investigations.

Phillip is a former member of the firm's Management Committee.

### Awards and Recognitions

- Peer review rated as AV Preeminent®, the highest performance rating in the Martindale-Hubbell® Peer Review Ratings™ system
- Selected by his peers for inclusion in *The Best Lawyers in America*® in the field of Litigation – Securities (2015 – 2023)
- Named to The BTI Consulting Group's coveted, "BTI Client Service All-Stars" list five times, including as an "MVP" in 2015 and 2020. This elite group of standout attorneys — identified solely through unprompted client feedback — are recognized as delivering the absolute best client service
- Recognized as a 2023 Thomson Reuters Stand-out Lawyer after being nominated as an outstanding lawyer by his clients as part of Thomson Reuters' global research with in-house counsel

### Thought Leadership



FOLEY & LARDNER LLP

Philip Often speaks at bar and trade association programs on shareholder activism, corporate internal investigations, SEC enforcement issues and regulatory examinations and has published several articles on these topics.

## Sectors

- Automotive
- Manufacturing
- Smart Manufacturing
- Supply Chain
- Sustainability & Product Stewardship

## Practice Areas

- Financial Institutions
- Litigation
- Securities Enforcement & Litigation
- Securities, Commodities & Exchange Regulation

## Education

- DePaul University (J.D., 1983)
- Indiana University (B.A., 1980)

## Admissions

- Illinois